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## Modeling ozone and carbon monoxide redistribution by shallow convection over the Amazonian rain forest

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**Abstract.** During a “locally occurring system” (LOS) in Amazonian forest characterized by an active mixing layer from surface to 1000 m capped by a fossil mixed layer between 1000 and 1500 m, the vertical mixing effects of a shallow cumulus are examined. The explicit redistribution of CO and O<sub>3</sub> has been studied with a two-dimensional convective cloud model coupled with a chemical model including gas and aqueous phases, for a shallow convective situation taken from the GTE/ABLE 2B campaign. The chemistry describes the main oxidation chains of CH<sub>4</sub> and CO in presence of low NO<sub>x</sub> concentration in a remote troposphere. Model results compare favorably with observations obtained after development of the shallow convection. The analysis of results explains how a growing and decaying cloud field allows exchanges between a mixing layer, a fossil mixed layer and the free troposphere. An inert tracer study has shown that the layer lying between surface to 500 m is transported up to 2000 m. Even small clouds are responsible for the transport and the transformation of chemical species. Sensitivity tests are performed to evaluate the relative importance of dynamical, microphysical and chemical processes. The cumulus venting is the main process which modifies the trace species redistribution. The O<sub>3</sub> and CO amounts which are transported by a fair-weather cumulus through the boundary layer and free troposphere in the wet season, over a tropical rain forest, are respectively  $1.2 \times 10^{23}$  molecules km<sup>-2</sup> h<sup>-1</sup> and  $7.7 \times 10^{23}$  molecules km<sup>-2</sup> h<sup>-1</sup>. In tropical regions, over rain forest, even with low NO<sub>x</sub> concentration, several cumulus exist every day and the vertical fluxes of some chemical species (like O<sub>3</sub>) cannot be neglected.

### 1. Introduction

The impact of clouds on the transfer of trace species from boundary layer to the free troposphere is very important in both cases of shallow and deep convection. In tropospheric chemistry, three processes are modified by the presence of clouds: the transport of chemical species, the photochemistry and the aqueous phase chemistry. Several authors have demonstrated that convective clouds constitute a mechanism for intense vertical transport, through observations [Ching *et al.*, 1986; Gidel, 1983; Garstang *et al.*, 1988; Lyons *et al.*, 1986] or through inert tracers modeling [Lafore and Moncrieff, 1989; Pickering *et al.*, 1990; Chaumerliac *et al.*, 1989; Renard *et al.*, 1994].

Clouds have not only the potential to transport chemical species from the mixed layer to the overlying free troposphere but also clouds can transform and modify many chemical species by aqueous phase chemistry occurring in droplets [Chameides and Davies, 1982; Jacob, 1986].

In evaluating regional CO and O<sub>3</sub> budgets, Thompson *et al.* [1994] show the important effect of convective transport over the Central United States. They estimate that both shallow cumulus and synoptic scale weather systems are as efficient as deep convection, but they point out that relative CO and O<sub>3</sub> fluxes due to shallow convection and synoptic scale weather

systems are poorly known. Observations of O<sub>3</sub> distribution inside and outside the clouds apparently lead to different O<sub>3</sub> evolution, depending on the type of clouds (small cumulus or stratiform clouds) [Preiss *et al.*, 1994].

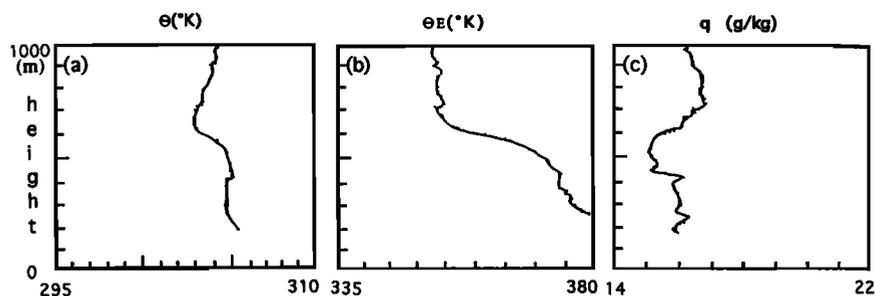
Detailed simulations of clouds and photochemistry in convective situations have highlighted a great degree of variability in dynamical structure and in trace gases redistribution from one event to another one [Scala *et al.*, 1990; Pickering *et al.*, 1990, 1991; Chatfield and Delany, 1990].

All these studies have been achieved using off-line models, without any aqueous chemistry. They show the dominant role of transport during deep convection. More recently, a convection cloud model, explicitly coupled with a gas/aqueous chemical model [Grégoire *et al.*, 1994] exhibits the role of microphysical processes on the redistribution of chemical species among the aqueous and gas phases. With a similar chemistry model, Lelieveld and Crutzen [1991] show the role of clouds on O<sub>3</sub> redistribution. They have estimated that if the air is spending 3 at 4 hours inside the clouds in NO<sub>x</sub> poor region, net ozone destruction rates are enhanced by factors ranging from 1.7 to 3.7 [Graedel and Crutzen, 1993].

The preceding works, however, have no experimental support. In this paper, we intend to compare model results with experimental data. Using a cloud model coupled with a gas/aqueous chemical model, we examine the role of the aqueous chemistry in shallow convection when vertical velocity and liquid water content are lower than in deep convection.

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**Figure 1.** Tethered balloon profile at 1200 local standard time (LST) on May 4, 1987, at Ducke. (a) Potential temperature, (b) equivalent potential temperature, and (c) specific humidity (from M. Garstang, personal communication, 1995).

The equatorial forests are an important source of organic acids [Talbot *et al.*, 1990] which can lead to ozone destruction by aqueous chemistry processes. During the GTE/ABLE2B campaign in 1987 (*Journal of Geophysical Research*, 95, 16,721–17,050, 1990) in Amazonia, an observation of a fair cumulus cloud was performed and vertical profiles of CO and O<sub>3</sub> were measured by an instrumented aircraft, the NASA Electra before and after a succession of convective cells developments. Ritter *et al.* [1990] have examined the influence of such a cloud field on species distributions in the subcloud layer. We use these data to validate our coupled model, and we examine the relative importance of different processes on chemical species distribution due to the presence of small cumulus. Although O<sub>3</sub> is a low soluble species, we want to investigate whether the competition between the three governing processes (transport, photochemistry, aqueous chemistry) is important in a case of shallow convection where the vertical velocities are weak but where the liquid water content is not negligible, as in equatorial forest regions.

After a short presentation of experimental data and of the model, we show the comparison between the simulated and observed profiles. Then, sensitivity tests are performed to assess the relative importance of dynamical, microphysical and chemical processes which are competing inside a shallow cumulus.

The case under study represents a local system which includes a fossil mixed layer lying between 1000 and 1500 m over a tropical rain forest during the wet season. A cumulus penetrates into this layer and destroys it. We examine and evaluate the exchanges between the fossil mixed layer and the mixing layer.

## 2. Experimental Data

### 2.1. Meteorological Situation

The synoptic situation for May 4, 1987, over the central Amazon Basin is consistent with fair-weather conditions, associated with shallow convection and small cumulus. This is typically a so-called “locally occurring system” (LOS), characterized by surface divergence until midafternoon, followed by weak convergence associated with the growing convection [Greco *et al.*, 1990].

The description of the meteorological situation is the following: the 700 hPa streamlines pattern shows a convergence line which lies north-south (NS) along 55°W from just north of the equator to about 7.5°S with equatorial vortex centred near 54°W and 0°S. Low level flow (5 m above canopy) at 1120 LST

exhibits divergent easterlies across network. A high-pressure center is observed over Tabatinga; another weak high-pressure center lies on the coast at 10°S, with a ridge between. A NS cloud line is revealed by satellite infrared data at 0900 LST along 55°W with center to the north of Belem coast, whereas a clear area extends to the west of Manaus-Tabatinga. Manaus eastward shows the edge of a system along 55°W. At the 250-hPa level, flow shows an anticyclone centred near 1.5°S, 52.3°W (Amazon mouth) supporting the north/south convergence line. This situation is not likely to undergo rapid changes.

The detailed evolution of the weather from aircraft data is described below:

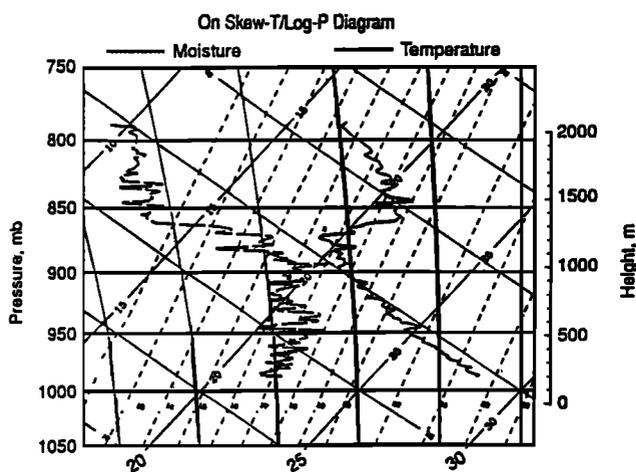
1. Cloud amounts mainly of fair weather cumulus (CU-1 at 1118 LST) and later (1312 LST) cumulus congestus (CU-2) were present throughout the flight but decreasing in amount from 7/8 sky cover to 4/8 sky cover.
2. Cloud base is ranging between 875 m at 1138 LST and 1100 m at 1501 LST; cloud top locates between 1625 m at 1136 LST and 1719 m at 1501 LST.
3. Inversion base ranging between 1250 m at 1134 LST and 1812 m at 1320 LST.
4. Light showers appeared over the area of operations and were probably present soon after 1300 LST and clearly visible from the aircraft between 1440 and 1457 LST.

On the same day (May 4, 1987), a fair-weather cumulus was studied by Ritter *et al.* [1990] between 1130 LST and 1440 LST. There were no upper level clouds except isolated patches of cirrus far away. By 1130 LST the convective cloud field became more isolated, and cloud cover decreased to 5/8. By 1440 LST the convective cloud development was over.

### 2.2. Thermodynamical Analyses

This undisturbed day is characterized by a typical mixed layer found above the Amazonian rain forest. The tethered balloon observations and comments which follow have been given by M. Garstang (personal communication, 1995). The tethered balloon observations show a vertical potential temperature profile (Figure 1a) with adiabatic conditions from surface to 1000 m at 1200 LST. Also, the vertical equivalent potential temperature (Figure 1b) and the specific humidity (Figure 1c) profiles look well mixed, although the latter decreases slowly with height over the first 1000 m.

By 1137 LST (Figure 2) a moist adiabatic layer is observed between 1000 and 1200 m, a sharp inversion from 1200 to 1300 m and a secondary moist adiabatic layer from 1300 to 1600 m. The layer from 1000 to 1800 m roughly agrees with the vertical extent of cloud.



**Figure 2.** Temperature and moisture profiles obtained during the vertical sounding taken at 1137 LST [from Ritter *et al.*, 1990].

By 1300 LST, gradients are evident in the vertical potential temperature profile (Figure 3a), in the vertical equivalent potential temperature profile (Figure 3b) and in the specific humidity profile (Figure 3c). Downdrafts penetrate the mixing layer and a mixing zone exists between the cloud layer and the mixed layer. This is obviously due to the action of precipitation convection. The depth of the mixed layer has now descended to about 500 m suggesting downward mixing from the cloud layer penetrating to this level.

By 1344 LST we observe (Figure 4) that the inversion zone (from 1400 to 1500 m) has weakened and a moist adiabatic layer extends from 1500 to 1700 m.

### 2.3. Chemical Data

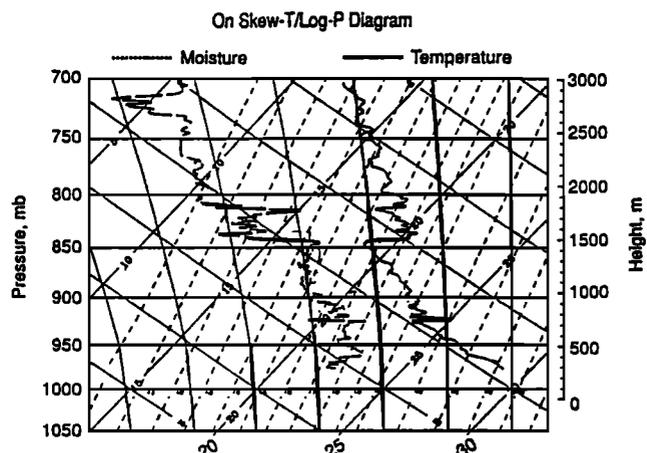
Figures 5 and 6 represent the CO and O<sub>3</sub> profiles for the two soundings: 1137 and 1344 LST. They both show that O<sub>3</sub> concentration increases with height, while CO concentration decreases. Negative correlation between CO and O<sub>3</sub> in the lower troposphere reflects a sink for O<sub>3</sub> at the surface and a source for CO [Harris *et al.*, 1990]. With low (8 ppt) NO concentration (Table 1) there is no O<sub>3</sub> production; photochemistry represents a weak sink for O<sub>3</sub> [Jacob and Wofsy, 1990; Scala *et al.*, 1990].

For O<sub>3</sub> we observe (Figure 5) two mixed layers with constant values: the first below 1000 m where O<sub>3</sub> concentration is 12 ppbv and the second above 1400 m where O<sub>3</sub> concentration is

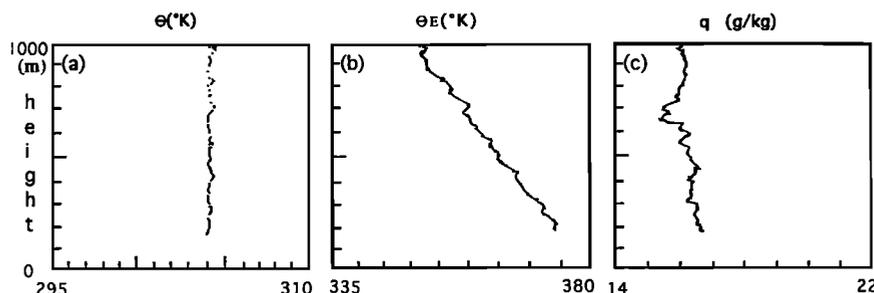
20 ppbv, which is about twice the values observed near the ground. Between these two mixed layers exists a strong positive gradient of 10 ppbv km<sup>-1</sup> from 1000 to 1200 m and of 30 ppbv km<sup>-1</sup> from 1200 to 1400 m associated to the inversion layer. The lower value of 12 ppbv observed below 1000 m is explained by deposition during nighttime and in the morning without exchange with the above layers (as the fossil one for instance).

The CO profile (Figure 5) slowly decreases with height below 1000 m. This profile has a different pattern below 1000 m and above 1000 m, and we observe, as for O<sub>3</sub> profile, that during nighttime and the morning there is no exchange with the above layers. Moreover, above 1000 m a sharp negative CO gradient exists from 1000 to 1200 m with a minimum of 105 ppbv at 1200 m. Then, above 1400 m we see strong alternate positive or negative gradients.

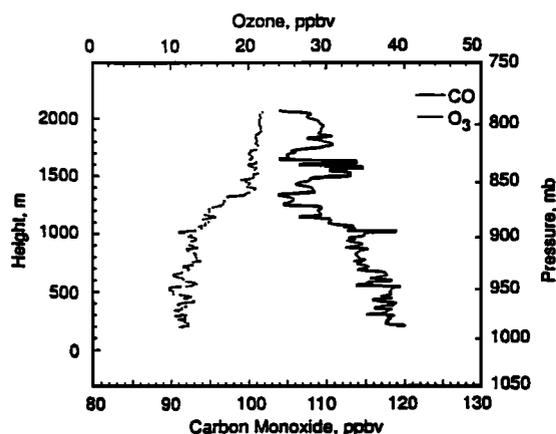
The initial profiles (Figure 5) are consistent with a well established mixed layer (from surface to 800 m) and a shallow cloud convective layer between approximately 900 and 1700 m. Enhanced O<sub>3</sub> content in and above this cloud layer (1000 to above 2000 m) and regions of relatively high CO content (such as the layer near 1500 m) are associated with the previous day's fossil mixed layer and with layer structures due to inversions in the subsidence synoptic scale environment. Frequently, fossil mixed layers over Amazonian rain forest [Martin *et al.*, 1988] are observed, generally during undisturbed days. In this case, the boundary layer at 1137 LST, is characterized by an active



**Figure 4.** Temperature and moisture profiles obtained during the vertical sounding taken at 1344 LST [from Ritter *et al.*, 1990].



**Figure 3.** Tethered balloon profile at 1300 LST on May 4, 1987, at Ducke. (a) Potential temperature, (b) equivalent potential temperature, and (c) specific humidity (from M. Garstang, personal communication, 1995).



**Figure 5.**  $O_3$  (dashed line) and CO (solid line) species mixing ratio profiles obtained during the initial sounding of Figure 1 [from Ritter *et al.*, 1990].

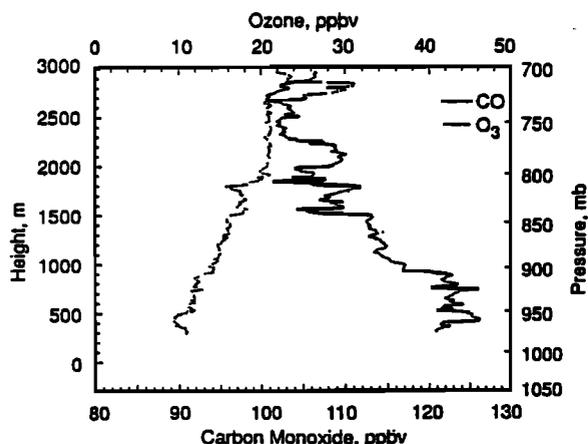
mixing layer from surface to 1000 m capped by a fossil mixed layer between 1000 and 1500 m, mixed but not mixing.

In Figure 6, after the development of shallow convection, we observe a smoothing in the  $O_3$  vertical profile and the gradients are much more homogeneous than in Figure 5. The CO profile (Figure 6) is less mixed than  $O_3$  and has a similar shape than the water vapor profile. This pattern may be explained because the CO source is the ground surface. Figure 6 profiles exhibit the clear signature of a precipitating cumulus which mixes both layers (fossil and underlying mixing layer).

In the case of  $O_3$ , higher cloud layer values of  $O_3$  have been mixed downward into the mixed layer and lower mixed layer values elevated into the cloud layer. In the case of CO, high values prevail near surface (below 750 m), but a general decrease with cloud/precipitation mixing has occurred above 750 m. The stratified and layered nature is evident.

### 3. Description of the Chemical Transport Cloud Model

The chemical model [Grégoire *et al.*, 1994] is coupled with a convection model. It monitors 12 chemical species in gaseous and aqueous phases as introduced by Lelieveld and Crutzen [1990,



**Figure 6.**  $O_3$  (dashed line) and CO (solid line) species mixing ratio profiles obtained during the sounding of Figure 4 [from Ritter *et al.*, 1990].

1991]. It describes the main oxidation chains of  $CH_4$  and CO in presence of  $NO_x$  in a remote troposphere. Its kinetic scheme presented in Table 2 contains 26 reactions and equilibrium in the gas phase, 16 gas/aqueous phase equilibrium, and 11 aqueous phase reactions. The rate of reaction G4 has been modified, following Stockwell [1994], to account for its dependency on water vapor and temperature, a feature which was neglected by Lelieveld and Crutzen [1991] and also by Grégoire *et al.* [1994]. The photolysis rates calculated according to Madronich [1987] for local conditions are assumed constant with time, but may vary with the altitude and the location of the cloud layers. The complete chemical scheme then leads to a set of two primary equations which express the rate of concentration changes between gas and aqueous phases, including mass transfer considerations. Those equations are solved by the Hessevedt [1978] solver with a time step of 0.5 s when cloud is present, and a time step of 15 s otherwise. For more details, the reader is referred to Grégoire *et al.* [1994].

The cloud model is a two-dimensional ( $x, z$ ), time-dependent, Eulerian scheme [Cautenet and Lefevre, 1994]. It is nonhydrostatic and anelastic. Three bulk water categories are considered here: vapor, cloud water and rain water. These hydrometeors interact through a variety of physical processes (e.g., condensation, evaporation, autoconversion, coalescence, accretion, and collection). The basic assumptions in the microphysical processes used are (1) a monodisperse, time invariant cloud droplet population in which the total number of droplets is fixed; (2) droplet coalescence (autoconversion) computed using the Kessler [1969] formulation with a threshold; and (3) rain distribution following Marshall and Palmer's [1948] distribution. Surface energy fluxes are prescribed which allow triggering and maintenance of cloud cycles. The horizontal spatial grid resolution is 200 m. The vertical spatial grid resolution is 100 m in order to be able to describe a fine structure of thermodynamic profile commonly observed within shallow cloud layers. The overall dimension of the modeled domain is 3.2 km in the vertical and 6.4 km in the horizontal. The time step of integration is 15 s. The duration of the simulation is 2 hours.

We initialize the model with the atmospheric sounding (Figure 2) along with  $O_3$  and CO profiles (Figure 5) at 1137 LST. For the other species, we take vertically homogeneous profiles in ppb (Table 1), according to Jacob and Wofsy [1990] and Singh *et al.* [1990]. The pH is held constant and equal to 5, a typical value of precipitation acidity during ABLE 2B campaign [Jacob and Wofsy, 1990].

The ground budget is prescribed as follows [Fitzjarrald *et al.*, 1990]: the net radiative flux at the beginning of the simulation

**Table 1.** Initial Values of Chemical Species in the Gas Phase That Are Used to Initialize the Chemical Module

Chemical Species	Concentrations
$O_3$	from the sounding
$H_2O_2$	2.5 parts per billion (ppb)
$CH_3OOH$	2.0 ppb
$CH_2O$	0.5 ppb
CO	from the sounding
$HNO_3$	0.2 ppb
$HO_2$	8.8 parts per trillion (ppt)
OH	0.08 ppt
$NO_2$	12.0 ppt
NO	8.0 ppt
$CH_3OO$	4.95 ppt
$HCOOH$	0.3 ppb

**Table 2.** List of Reactions With Their Corresponding Rate Constants for Gas Phase, Gas-Aqueous Phase and Aqueous Equilibria, and Aqueous Phase

	Scheme	Rate Constants
<i>Gas Phase Reaction</i>		
G1	$O_3 + H_2O + h\nu \rightarrow 2OH \cdot + O_2$	calculated from Madronich [1987]
G2	$O_3 + OH \cdot \rightarrow HO_2 \cdot + O_2$	$1.6 \times 10^{-12} \exp(-940/T)$
G3	$O_3 + HO_2 \cdot \rightarrow OH \cdot + 2O_2$	$1.1 \times 10^{-14} \exp(-500/T)$
G4	$2HO_2 \cdot \rightarrow H_2O_2 + O_2$	$(2.3 \times 10^{-13} \exp(600/T) + 1.7 \times 10^{-33} [M] \exp(1000/T)) [1 + (1.4 \times 10^{-21}) [H_2O] \exp(2200/T)]$
G5	$H_2O_2 + h\nu \rightarrow 2OH \cdot$	calculated from Madronich [1987]
G6	$H_2O_2 + OH \cdot \rightarrow HO_2 \cdot + H_2O$	$3.3 \times 10^{-12} \exp(-200/T)$
G7	$CH_4 + OH \cdot + O_2 + M \rightarrow CH_3O_2 \cdot + H_2O + M$	$2.3 \times 10^{-12} \exp(-1700/T)$
G8	$CH_3O_2 \cdot + HO_2 \cdot \rightarrow CH_3O_2H + O_2$	$4.0 \times 10^{-12}$
G9	$CH_3O_2H + O_2 + h\nu \rightarrow CH_2O + HO_2 \cdot + OH \cdot$	calculated from Madronich [1987]
G10	$CH_3O_2H + OH \cdot \rightarrow CH_3O_2 \cdot + H_2O$	$5.6 \times 10^{-12}$
G11	$CH_3O_2H + OH \cdot \rightarrow CH_2O + OH \cdot + H_2O$	$4.4 \times 10^{-12}$
G12	$CH_2O + 2O_2 + h\nu \rightarrow CO + 2HO_2$	calculated from Madronich [1987]
G13	$CH_2O + h\nu \rightarrow CO + H_2$	calculated from Madronich [1987]
G14	$CH_2O + OH \cdot + O_2 \rightarrow CO + HO_2 \cdot + H_2O$	$1.1 \times 10^{-11}$
G15	$CO + OH \cdot + O_2 + M \rightarrow CO_2 + HO_2 \cdot + M$	$2.4 \times 10^{-13}$
G16	$NO + O_3 \rightarrow NO_2 + O_2$	$2.0 \times 10^{-12} \exp(-1400/T)$
G17	$NO_2 + O_2 + h\nu \rightarrow NO + O_3$	calculated from Madronich [1987]
G18	$NO + HO_2 \cdot \rightarrow NO_2 + OH \cdot$	$3.7 \times 10^{-12} \exp(240/T)$
G19	$NO + CH_3O_2 \cdot + O_2 \rightarrow NO_2 + CH_2O + HO_2 \cdot$	$4.2 \times 10^{-12} \exp(180/T)$
G20	$NO_2 + OH \cdot + M \rightarrow HNO_3 + M$	$1.2 \times 10^{-11}$
G21	$HNO_3 + h\nu \rightarrow NO_2 + OH$	calculated from Madronich [1987]
G22	$CH_2O + HO_2 \cdot \leftrightarrow \cdot O_2CH_2OH$	$6.7 \times 10^{-15}$
G23	$\cdot O_2CH_2OH + HO_2 \cdot \rightarrow HCO_2H + H_2 \cdot O + O_2$	$2.0 \times 10^{-12}$
G24	$\cdot O_2CH_2OH + NO + O_2 \rightarrow HCO_2H + HO_2 \cdot + NO_2$	$7.0 \times 10^{-12}$
G25	$2 \cdot O_2CH_2OH + O_2 \rightarrow 2HCO_2H + 2HO_2 \cdot$	$1.2 \times 10^{-13}$
G26	$HCO_2H + OH \cdot + O_2 \rightarrow CO_2 + HO_2 \cdot + H_2O$	$3.2 \times 10^{-13}$
<i>Gas-Aqueous and Aqueous Phase Equilibria*</i>		
E1	$H_2O \leftrightarrow H^+ + OH^-$	$1.0 \times 10^{-14} \exp[-6716(1/T - 1/298)]$
H1	$O_{3(g)} \leftrightarrow O_{3(aq)}$	$1.1 \times 10^{-2} \exp[2300(1/T - 1/298)]$
H2	$H_2O_{2(g)} \leftrightarrow H_2O_{2(aq)}$	$7.4 \times 10^4 \exp[6615(1/T - 1/298)]$
E2	$H_2O_{2(aq)} \leftrightarrow HO_2^- + H^+$	$2.2 \times 10^{-12} \exp[-3730(1/T - 1/298)]$
H3	$CH_3O_2H_{(g)} \leftrightarrow CH_3O_2H_{(aq)}$	$2.2 \times 10^2 \exp[5653(1/T - 1/298)]$
H4	$CH_2O_{(g)} \leftrightarrow CH_2(OH)_{2(aq)}$	$2.0 \times 10^{-12} \exp[6425(1/T - 1/298)]$
H5	$HNO_3_{(g)} \leftrightarrow HNO_3_{(aq)}$	$5.6 \times 10^{-3} \exp[8700(1/T - 1/298)]$
E3	$HNO_3_{(aq)} \leftrightarrow H^+ + NO_3^-$	15.4
H6	$HO_2 \cdot_{(g)} \leftrightarrow HO_2 \cdot_{(aq)}$	$2.0 \times 10^3 \exp[6600(1/T - 1/298)]$
E4	$HO_2 \cdot_{(aq)} \leftrightarrow H^+ + O_2^-_{(aq)}$	$3.5 \times 10^{-5}$
H7	$OH \cdot_{(g)} \leftrightarrow OH \cdot_{(aq)}$	$9.0 \times 10^3$
H8	$NO_{2(g)} \leftrightarrow NO_{2(aq)}$	$6.4 \times 10^{-3} \exp[2500(1/T - 1/298)]$
H9	$NO_{(g)} \leftrightarrow NO_{(aq)}$	$1.9 \times 10^{-3} \exp[1480(1/T - 1/298)]$
H10	$CH_3O_2 \cdot_{(g)} \leftrightarrow CH_3O_2 \cdot_{(aq)}$	$2.0 \times 10^3 \exp[6600(1/T - 1/298)]$
H11	$HCO_2H_{(g)} \leftrightarrow HCO_2H_{(aq)}$	$3.7 \times 10^3 \exp[5700(1/T - 1/298)]$
E5	$HCO_2H_{(aq)} \leftrightarrow H^+ + HCO_2^-$	$1.8 \times 10^{-4} \exp[-1510(1/T - 1/298)]$
<i>Aqueous Phase Reaction</i>		
A1	$H_2O_2 + h\nu \rightarrow 2OH \cdot$	G5 $\times$ 1.6
A2	$O_3 + h\nu \rightarrow H_2O_2 + O_2$	G1 $\times$ 1.6
A3	$CH_2(OH)_2 + OH \cdot + O_2 \rightarrow HCO_2H + HO_2 \cdot + H_2O$	$2.0 \times 10^9 \exp[-1500(1/T - 1/298)]$
A4	$HCO_2H + OH \cdot + O_2 \rightarrow CO_2 + HO_2 \cdot + H_2O$	$1.6 \times 10^8 \exp[-1500(1/T - 1/298)]$
A5	$HCO_2^- + OH \cdot + O_2 \rightarrow CO_2 + HO_2 \cdot + OH^-$	$2.5 \times 10^9 \exp[-1500(1/T - 1/298)]$
A6	$O_3 + O_2^- + H_2O \rightarrow OH \cdot + OH^- + 2O_2$	$1.5 \times 10^9 \exp[-1500(1/T - 1/298)]$
A7	$HO_2 \cdot + O_2^- + H_2O \rightarrow H_2O_2 + OH^- + O_2$	$1.5 \times 10^9 \exp[-1500(1/T - 1/298)]$
A8	$H_2O_2 + OH \cdot \rightarrow HO_2 \cdot + H_2O$	$1.0 \times 10^8 \exp[-1715(1/T - 1/298)]$
A9	$CH_3O_2 \cdot + O_2^- + H_2O \rightarrow CH_3O_2H + OH^- + O_2$	$5.0 \times 10^7 \exp[-1610(1/T - 1/298)]$
A10	$CH_3O_2H + OH \cdot \rightarrow CH_3O_2 \cdot + H_2O$	$2.7 \times 10^7 \exp[-1715(1/T - 1/298)]$
A11	$CH_3O_2H + OH \cdot \rightarrow CH_2(OH)_2 + OH \cdot$	$1.9 \times 10^7 \exp[-1860(1/T - 1/298)]$

\*Rate constants  $K_{298}$ .

(on May 4, 1987 at 12 hours) is  $450 \text{ W m}^{-2}$  and slowly diminishes during the simulation owing to cloud development and decreasing incident radiative solar flux. The sensible heat flux is  $100 \text{ W m}^{-2}$  and the latent heat flux is  $350 \text{ W m}^{-2}$  to account for the strong precipitation which had taken place on the previous day, therefore involving a strong evapotranspiration.

The aim of the modeling study is to test the ability of the model to retrieve the observed data (Figures 3 and 4) at 1344 LT. We

want to examine and evaluate the exchanges between the lower layer and free troposphere via the mixing and the fossil layers.

## 4. Results and Discussion

### 4.1. Meteorological Results

The cloud field simulated during 2 hours, on May 4, 1987, is characterized by different cells. The domain is small (6.4 km),

but the unique cloud, which undergoes various life cycles, is thought to represent a much longer distribution. During the simulation the cloud cell periodically grows and decays over a period of about 140 min, with a top ranging between 1000 and 2000 m (Figure 7g). Figure 8 displays the growing and decaying cells (shown by the vertical velocity and liquid content evolution's) with about a 10 to 20-min period.

At the center of the cell convection, the cloud liquid water content has maximum values of about  $1.5 \text{ g kg}^{-1}$  and maximum vertical velocity of about  $4 \text{ m s}^{-1}$  (Figure 8).

At the start of the cloud development a strong convective movement is organized. After 30 min the field of vertical motion extends from surface to 2000 m (Figures 7a and 7b). Horizontally, the cell disturbs a large zone. The active mixing layer is mixed with the fossil layer. During the maximum development, exchanges exist from surface to cloud top, that is, about the free troposphere. When the cloud cell decreases, the convection zone is located below 1500 m and extends horizontally over 3 km. The downdrafts are more intense than updrafts (Figures 7c and 7d). At the end of our simulation the cloud convection is weak, and light showers are observed. A separated evolution between the cloud convection itself and the mixing layer is observed, in the horizontal and vertical wind fields after about 120 min (Figures 7e, 7f, and 7g). There are two convective cells: the first from the ground to 1000 m and the second from 1300 to 2200 m where is found a cloud cell.

The observed thermodynamic structure (Figure 4) exhibits a dry adiabatic layer from 400 to 700 m, a thick inversion layer from 1400 to 1600 m and a moist adiabatic layer from 1600 to 1800 m which explains the two modeled cells. A cloud cell is connected to the moist adiabatic layer, and a dry convective cell is the counterpart of the dry adiabatic layer. The upper part of cloud allows the venting through free troposphere, since the low part evaporates in boundary layer. The stability is restored in the boundary layer and when the cloud is less supplied by upward water vapor vanishes very quickly. The cloud evaporates and precipitates weak showers and we observe that convection cell is separated in two parts (Figures 7e and 7f).

#### 4.2. Species Behavior in the Cloud Center

Within the cloud, at 1500-m level, at the center of the convective cell, are displayed (Figures 8 and 9) the cloud liquid water content (grams per kilogram), the vertical speed (meters per second), the  $\text{O}_3$  and CO concentration normalized by the initial values during 140 min. The liquid water content maximum is associated with vertical speed maximum. The increase in liquid water content is connected to enhanced latent heat release which in turn induces large positive vertical speeds. Conversely, negative vertical velocities are driven by the evaporative decay of liquid water content. In fact, as mentioned above, several cloud cells cycles are described. CO and  $\text{O}_3$  concentrations are evolving out of phase e.g. when an increase in CO concentration is observed, a decrease in  $\text{O}_3$  concentration occurs in the same time, and conversely. The main source of CO is at the ground surface and CO concentration decreases with altitude, while  $\text{O}_3$  is generated by photochemistry within the boundary layer so that  $\text{O}_3$  concentration increases with altitude.

#### 4.3. Comparison Between Modeled and Observed Profiles

Figure 10 displays a comparison between modeled and measured profiles at the end of cloud convection. There is a stan-

dard deviation of about 10% between the modeled and measured profiles for both CO and  $\text{O}_3$ . The modeled profiles are more smoothed than experimental ones. In our model we have taken into account the dynamical, microphysical, gas and aqueous chemistry processes but not the uptake by the forest canopy.

During the shallow convection, cumulus cell can mix both layers (fossil and underlying mixing layer) so that the fossil mixed layer is destroyed. For  $\text{O}_3$  the profile is simply linearized within the cloud as well as below the cloud. The in-cloud smoothing is obviously driven by the vertical speeds which are very high in this zone. For CO the source is supplied by the rain forest canopy, and its profile is linearized only within the cloud.

When the convection vanishes, in this subcloud layer, two weak cells of convection, in-cloud (moist) and subcloud (dry), are modeled and can explain the different behaviors of CO and  $\text{O}_3$  near surface. The strength of dynamical processes decays near the ground surface. The lower cell below 1000 m is capped by an inversion layer. This features explain the CO accumulation at 800 m, obvious in the profile (Figure 10).

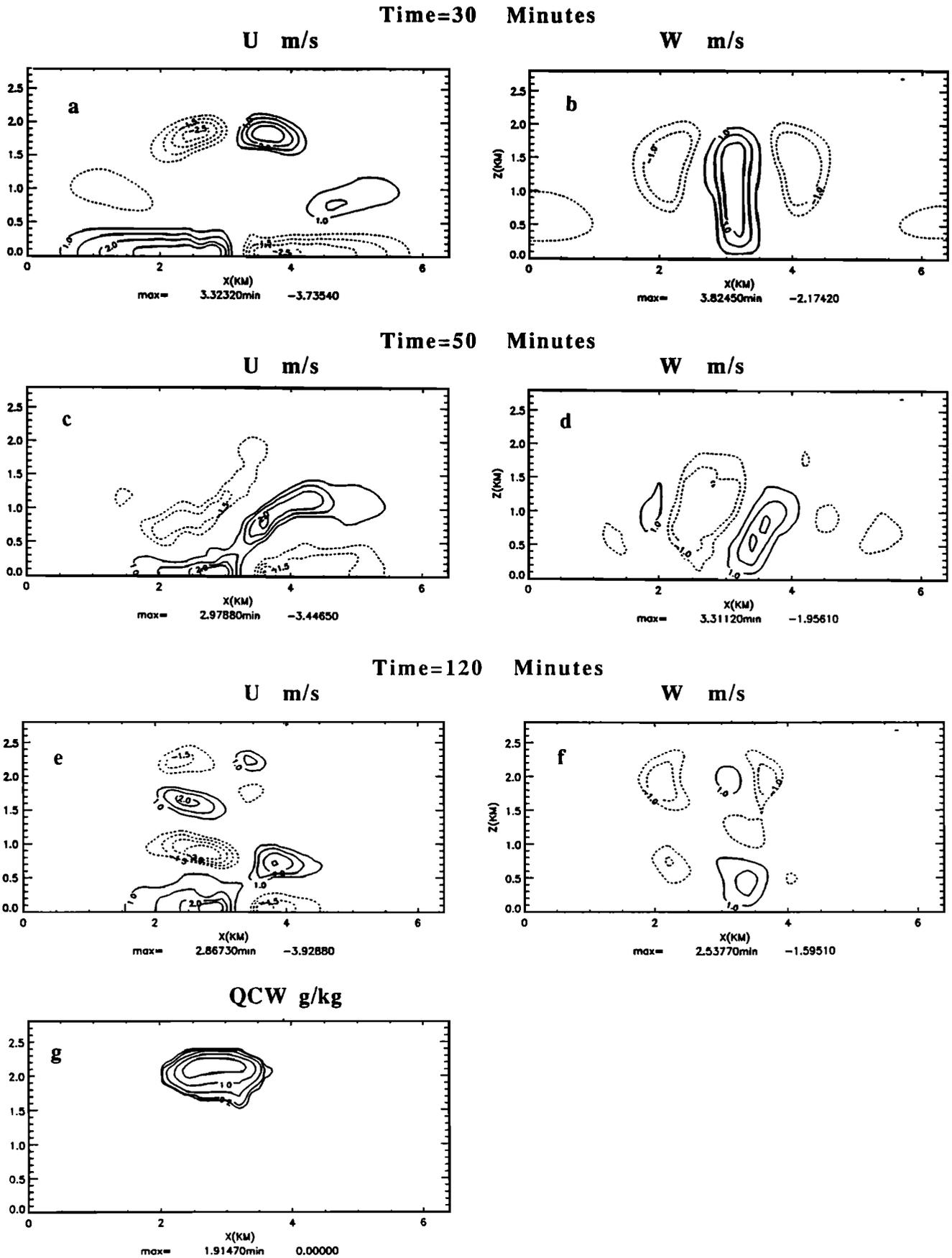
#### 4.4. Inert Tracer Study

A layered tracer scheme is used to investigate the transport efficiency of a shallow convection cloud field. At initial time an inert tracer layer is introduced into the model domain between surface and 500 m. At the end of simulation (140 min) we see (Figure 11) that the inert tracer has propagated up to 2000 m. Forty percent of the initial concentration reaches 2.0 km in altitude over 2 km wide for a 6.4-km simulated domain in the horizontal. The compounds lying near surface can be transported above boundary layer, in the free troposphere by a fair-weather cumulus. Several studies have been performed for deep and organized cloud convection, in particular squall lines in Amazonia. A squall line is divided into two components: convective and stratiform. These two regions contribute to vertical transport [Houze, 1989]. Thirty to fifty percent of the vertical transport is accomplished by the hot towers (convective region), and the remainder is brought about the trailing stratiform region which also participates to transport in the upper troposphere by anvil region [Greco *et al.*, 1994]. Simulated results (tracer 2 [Scala *et al.*, 1990]) for a squall line show that 40% of initial concentration reaches 3.5 km over 10 km wide and only 2.0 km in altitude over 30 km wide for 160 km simulated domain. Therefore, in a squall line, although vertical transport can be observed up to the upper troposphere, a large amount of surface tracer reaches only 2000 m. The squall lines cover large areas, but these meteorological events have a rare occurrence on the overall year. If we examine the lower troposphere where the biogenical emissions take place, the shallow convection plays a role which must be taken into account. It is quite realistic to hypothesize that, even though fair-weather cumulus induces weaker vertical fluxes than squall lines, they are more frequent and can contribute to nonnegligible amounts of net vertical transport of some surface trace compounds in lower troposphere (from surface to 2000 m).

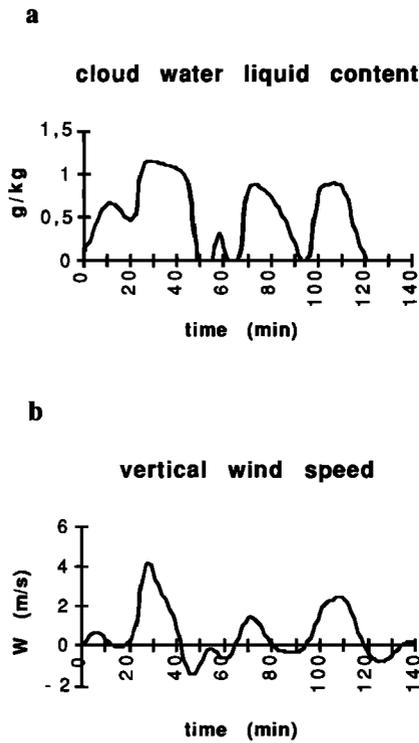
#### 4.5. Main Processes Driving the Chemical In-cloud Species Redistribution

Sensitivity tests have been performed to evaluate the relative importance of different processes (transport, photochemistry or aqueous chemistry) on chemical species distribution due to the presence of small cumulus.

The evolution of CO and  $\text{O}_3$  concentration normalized by

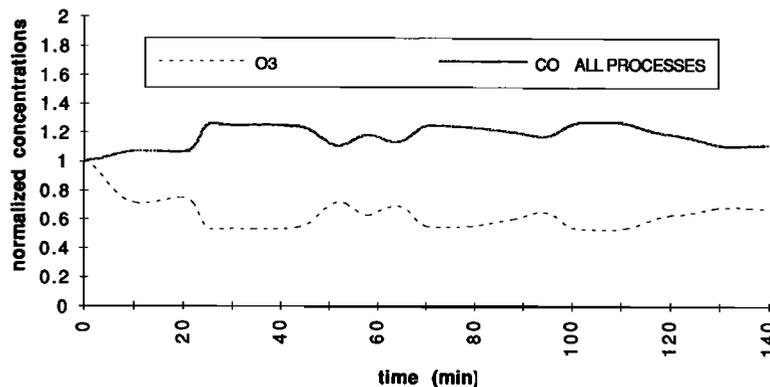


**Figure 7.** Isolines of horizontal wind,  $U$  in meters per second, vertical wind,  $W$  in meters per second and cloud liquid water content, QCW in grams per kilogram at different times of the simulation.

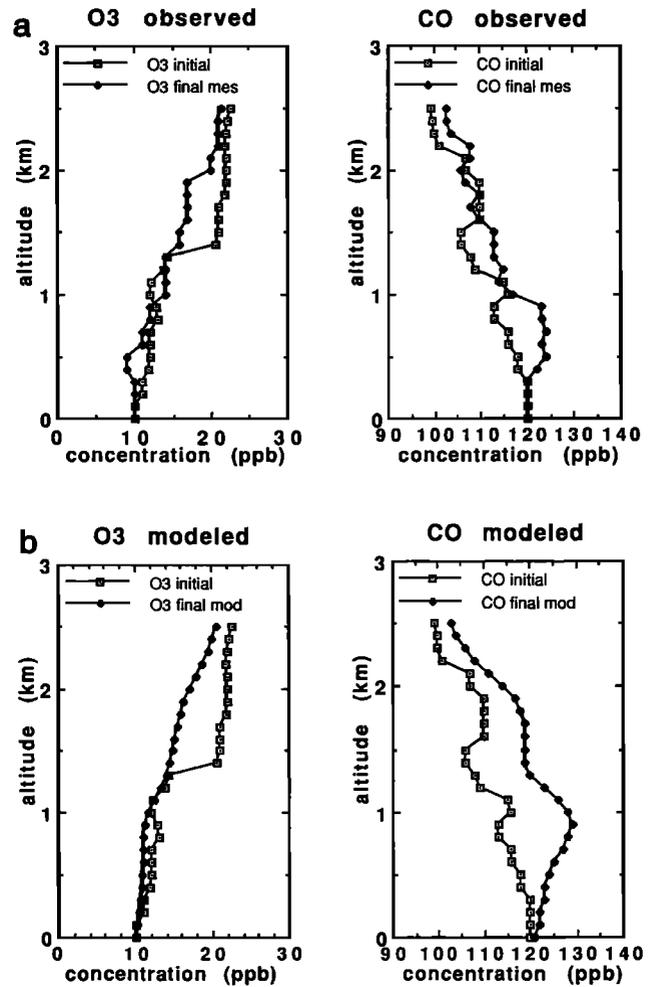


**Figure 8.** At 1500 m in center of convection, time evolution during 140 min, of cloud liquid water content (grams per kilogram), of vertical speed (meters per second).

initial value in center of cloud are examined (Figure 12). Curve 1 describes the complete scheme which takes into account all the processes: dynamical, microphysical, and chemical. If the aqueous chemical reactions and the transfer between aqueous and gaseous phases are neglected, the CO concentration is not modified, because it is not soluble, so that curve 2 is not different from curve 1. For O<sub>3</sub> there is a very low increase associated with low O<sub>3</sub> solubility and O<sub>3</sub> destruction by aqueous chemistry process. These effects remain unimportant. We notice that the increase in O<sub>3</sub> follows the liquid water content maximum (condensation), while the decrease of O<sub>3</sub> follows the liquid water content minima (evaporation). For curve 3, we also neglect the dynamical processes, only the gaseous chemical reactions are taken into account like in a box model. In this case, the CO and O<sub>3</sub> concentrations do not vary.

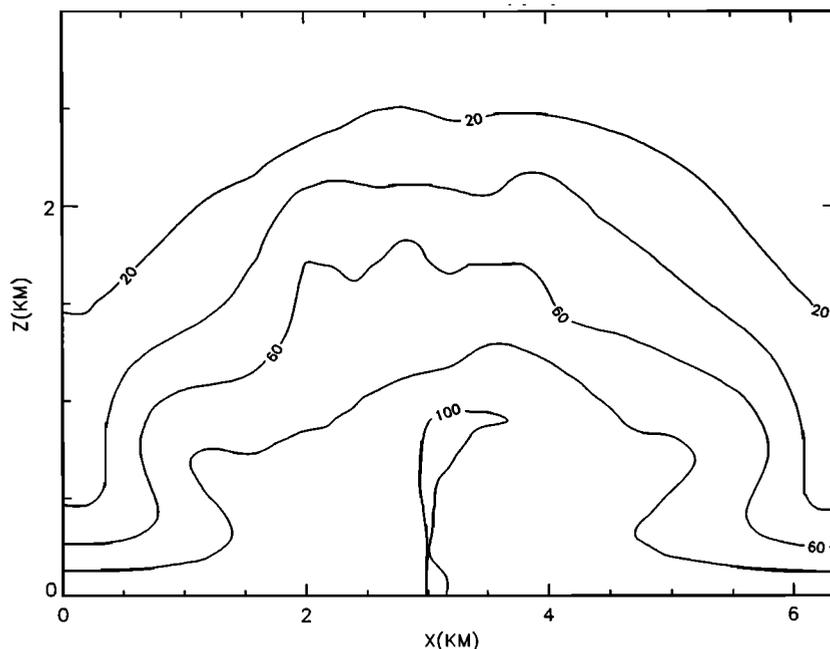


**Figure 9.** At 1500 m in center of convection, time evolution during 140 min, of O<sub>3</sub> and CO concentration normalized to initial value.



**Figure 10.** O<sub>3</sub> and CO species mixing ratio profiles (a) obtained during the experiment before and after the presence of cloud and (b) obtained by the simulation after the presence of cloud.

For this kind of cloud (fair-weather cumulus), with low values in NO and O<sub>3</sub> concentration, CO and O<sub>3</sub> behave like inert gases. Photochemistry represents a very low sink for O<sub>3</sub> [Scala *et al.*, 1990]. This phenomenon can be explained as follows: first, CO gas reacts very little with other gases which are in the



**Figure 11.** The simulated modification of an inert tracer by cloud transport after 140 min. The initial distribution lies between surface and 500 m.

atmosphere, and moreover, CO concentration is ten times greater than other gases, including  $O_3$ . The evolution of CO and  $O_3$  concentration profiles are out of phase: CO increases with height, while  $O_3$  decreases. The vertical cloud transport is the main process driving chemical species redistribution. We see that even fair-weather cumulus perform transport or transformation.

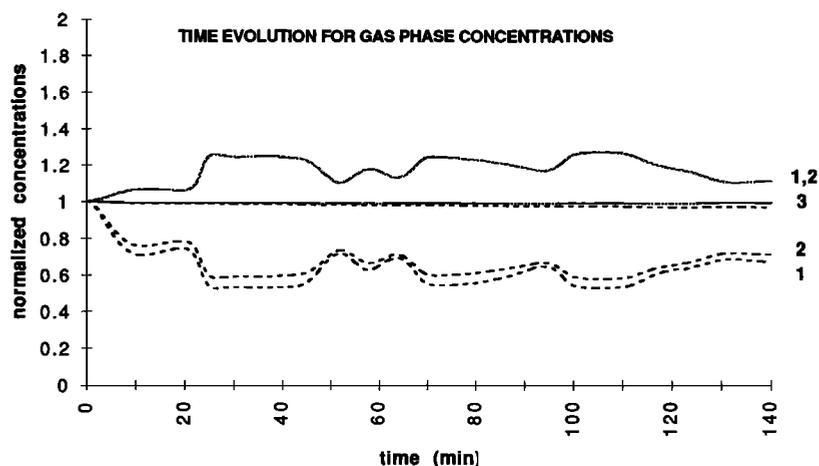
### 5. Evaluation of Cumulus Venting Over a Tropical Rain Forest During the Wet Season

A survey of shallow (fair-weather) cumulus clouds over part of Amazonia yields to evidence of enhanced frequency of occurrence of clouds over zone (in particular along the highway) where the forest had been cleared during the 1988 dry season

[Cutrim *et al.*, 1995]. This may be explained by the great contrast in vegetation cover (deforested areas) that leads to convective motions and therefore shallow cumulus clouds. This is a permanent feature (during wet or dry season).

The boundary layer in wet season over tropical rain forest is not a source for ozone like in a polluted atmosphere but rather a sink [Ritter *et al.*, 1990; Browell *et al.*, 1990]. Between the boundary layer and the free troposphere, weak cumulus can take place and allow the vertical transfer of chemical species such as CO or  $O_3$ . These species play a important role in global tropospheric chemistry, and we must estimate the venting in all conditions (here there is shallow convection, above rain forest during the wet season).

In our study, the cloud transforms the fossil layer below 1500 m during the cloud development and “vents” a part of



**Figure 12.** At 1500 m in center of convection, time evolution during 140 min of  $O_3$  (dotted line) and CO (solid line) concentration normalized by initial value. Run 1: All the processes (dynamical, gas, and aqueous phases) are taken into account. Run 2: no transfer through the aqueous phase. Run 3: only gas chemistry.

content above 2000 m into the free troposphere. We evaluated during the whole simulation the CO and O<sub>3</sub> fluxes between the boundary layer at 1500 m and the free troposphere toward the cloud top. We have calculated the CO and O<sub>3</sub> net vertical fluxes between these levels. The CO and O<sub>3</sub> upward fluxes are respectively  $7.7 \times 10^{23}$  molecules km<sup>-2</sup> h<sup>-1</sup> and  $1.2 \times 10^{23}$  molecules km<sup>-2</sup> h<sup>-1</sup>. These amounts are about two hundred times smaller than those obtained for O<sub>3</sub> during deep convection (PRESTORM), with high value of NO, which are  $7.97 \times 10^{11}$  molecules cm<sup>-2</sup> s<sup>-1</sup>, that is  $2.8 \times 10^{25}$  molecules km<sup>-2</sup> h<sup>-1</sup> [Pickering et al., 1992; Thomson et al., 1994]. In addition, we see that the CO vertical transport is negligible versus O<sub>3</sub> transport.

## 6. Conclusions

We have presented a cloud convection model coupled with a chemical model describing the oxidation chains of CH<sub>4</sub> and CO in presence of NO<sub>x</sub> in remote troposphere. A shallow cumulus cloud field has been simulated over tropical rain forest, during the wet season, with low values of O<sub>3</sub> and NO<sub>x</sub>. The case under study represents a local system which includes an active mixing layer from surface to 1000 m capped by a fossil mixed layer between 1000 and 1500 m, cumulus clouds penetrate into this layer and exchanges between the different layers are examined. We have shown that when vertical motion is strong, the fossil mixed layer and the mixing layer are mixed so that the fossil layer is destroyed. By tracer analysis, we have shown exchanges exist between the lower layer (from surface to 500 m) and the free troposphere (above 2000 m) across the mixing and fossil layer. After the development of several cycles of cloud cells, when the cloud precipitates, we have two convection cells: the first one from the ground to 1000 m and the second one from 1300 to 2200 m where is found a cloud cell. At this time, the exchanges between the two layers are stopped.

The comparison between experimental and modeled CO and O<sub>3</sub> data are in good agreement. The cloud convection smoothes more the O<sub>3</sub> vertical profile than the CO one because CO is supplied from ground surface and is therefore more concentrated near the surface than in altitude, while the opposite occurs for O<sub>3</sub> which is destroyed very weakly within the atmosphere by photochemistry. Sensitivity tests show that the main process driving chemical species redistribution is the dynamical mechanism. The microphysical and chemical processes are of lower importance. We have shown that even small clouds perform transport or transformation in the boundary layer.

The O<sub>3</sub> and CO vertical fluxes between the boundary layer and the free troposphere through the cloud top, are respectively  $1.2 \times 10^{23}$  molecules km<sup>-2</sup> h<sup>-1</sup> and  $7.7 \times 10^{23}$  molecules km<sup>-2</sup> h<sup>-1</sup>. In tropical region, over rain forest, with low O<sub>3</sub> levels, where several cumulus exist every day, the vertical fluxes of some chemical species (like O<sub>3</sub>) cannot be neglected.

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